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PTO/SB/05 (11-95)

Approved for use through 10/31/2002. OMB 0651-0037  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. KOJIM-442

First Inventor Takanobu TAKEDA et al.

Title PREPARATION OF POLYMER, AND RESIST COMPOSITION  
USING THE POLYMER

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification (Total Pages )  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) (Total Sheets )
5. Oath or Declaration (Total Pages )
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ /  
Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUING or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

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23599

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Anthony J. Zelano

Registration No. (Attorney/Agent)

27,969

Signature

*Anthony J. Zelano* #32,004

Date

December 6, 2001

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision

**Complete if Known**

|                      |                        |
|----------------------|------------------------|
| Application Number   |                        |
| Filing Date          | December 6, 2001       |
| First Named Inventor | Takanobu TAKEDA et al. |
| Examiner Name        |                        |
| Group / Art Unit     |                        |
| Attorney Docket No.  | KOJIM-442              |

**TOTAL AMOUNT OF PAYMENT** (\$) 740**METHOD OF PAYMENT (check one)**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

13-3402

Deposit  
Account  
Name

Milen, White, Zelano &amp; Branigan, P.C.

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17
- 
- ☐
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check    ☐ Credit card    ☐ Money  
Order    ☐ Other
**FEE CALCULATION**

| 1. BASIC FILING FEE  |                       |                      |                       |                        |                 |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|-----------------|
| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid        |
| 101                  | 710                   | 201                  | 355                   | Utility filing fee     | 740             |
| 106                  | 320                   | 206                  | 160                   | Design filing fee      |                 |
| 107                  | 490                   | 207                  | 245                   | Plant filing fee       |                 |
| 108                  | 710                   | 208                  | 355                   | Reissue filing fee     |                 |
| 114                  | 150                   | 214                  | 75                    | Provisional filing fee |                 |
| <b>SUBTOTAL (1)</b>  |                       |                      |                       |                        | <b>(\$ 740)</b> |

**2. EXTRA CLAIM FEES**

|                       |   |       | Extra<br>Claims | Fee from<br>below | Fee<br>Paid |
|-----------------------|---|-------|-----------------|-------------------|-------------|
| Total Claims          | 7 | -20** | 0               | X                 | 0           |
| Independent<br>Claims | 1 | -3**  | 0               | X                 | 0           |
| Multiple<br>Dependent |   |       |                 | X                 | 0           |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103                  | 18                    | 203                  | 9                     | Claims in excess of 20                                     |
| 102                  | 80                    | 202                  | 40                    | Independent claims in excess of 3                          |
| 104                  | 270                   | 204                  | 135                   | Multiple dependent claim, if not paid                      |
| 109                  | 80                    | 209                  | 40                    | ** Reissue independent claims over original patent         |
| 110                  | 18                    | 210                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)**

| 3. ADDITIONAL FEES                |        | Large<br>Entity<br>Fee<br>Code | Large<br>Entity<br>Fee<br>(\$) | Small<br>Entity<br>Fee<br>Code | Small<br>Entity<br>Fee<br>(\$) | Fee Description  | Fee<br>Paid   |
|-----------------------------------|--------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--|---------------|
| 105                               | 130    | 205                            | 65                             |                                |                                | Surcharge - late filing fee or oath  |               |
| 127                               | 50     | 227                            | 25                             |                                |                                | Surcharge - late provisional filing fee or cover sheet                     |               |
| 139                               | 130    | 139                            | 130                            |                                |                                | Non-English specification  |               |
| 147                               | 2,520  | 147                            | 2,520                          |                                |                                | For filing a request for reexamination                                     |               |
| 112                               | 920*   | 112                            | 920*                           |                                |                                | Requesting publication of SIR prior to Examiner action                     |               |
| 113                               | 1,840* | 113                            | 1,840*                         |                                |                                | Requesting publication of SIR after Examiner action                        |               |
| 115                               | 110    | 215                            | 55                             |                                |                                | Extension for reply within first month                                     |               |
| 116                               | 390    | 216                            | 195                            |                                |                                | Extension for reply within second month                                    |               |
| 117                               | 890    | 217                            | 445                            |                                |                                | Extension for reply within third month                                     |               |
| 118                               | 1,390  | 218                            | 695                            |                                |                                | Extension for reply within fourth month                                    |               |
| 128                               | 1,890  | 228                            | 945                            |                                |                                | Extension for reply within fifth month                                     |               |
| 119                               | 310    | 219                            | 155                            |                                |                                | Notice of Appeal   |               |
| 120                               | 310    | 220                            | 155                            |                                |                                | Filing a brief in support of an appeal                                     |               |
| 121                               | 270    | 221                            | 135                            |                                |                                | Request for oral hearing   |               |
| 138                               | 1,510  | 138                            | 1,510                          |                                |                                | Petition to institute a public use proceeding                              |               |
| 140                               | 110    | 240                            | 55                             |                                |                                | Petition to revive - unavoidable   |               |
| 141                               | 1,240  | 241                            | 620                            |                                |                                | Petition to revive - unintentional   |               |
| 142                               | 1,240  | 242                            | 620                            |                                |                                | Utility issue fee (or reissue)   |               |
| 143                               | 440    | 243                            | 220                            |                                |                                | Design issue fee   |               |
| 144                               | 600    | 244                            | 300                            |                                |                                | Plant issue fee  |               |
| 122                               | 130    | 122                            | 130                            |                                |                                | Petitions to the Commissioner  |               |
| 123                               | 130    | 123                            | 130                            |                                |                                | Petitions related to provisional applications                              |               |
| 126                               | 180    | 126                            | 180                            |                                |                                | Submission of Information Disclosure Stmt                                  |               |
| 581                               | 40     | 581                            | 40                             |                                |                                | Recording each patent assignment per property (times number of properties) |               |
| 146                               | 710    | 246                            | 355                            |                                |                                | Filing a submission after final rejection (37 CFR § 1.129(a))              |               |
| 149                               | 710    | 249                            | 355                            |                                |                                | For each additional invention to be examined (37 CFR § 1.129(b))           |               |
| 179                               | 710    | 279                            | 355                            |                                |                                | Request for Continued Examination (RCE)                                    |               |
| 169                               | 900    | 169                            | 900                            |                                |                                | Request for expedited examination of a design application                  |               |
| Other fee (specify)               |        |                                |                                |                                |                                |  |               |
| *Reduced by Basic Filing Fee Paid |        |                                |                                |                                |                                | <b>SUBTOTAL (3)</b>  | <b>(\$ 0)</b> |

**SUBMITTED BY**

Complete (if applicable)

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[Signature] #32,004

Date

December 6, 2001

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